UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

DAVID M. GOLDEN, et. al., Plaintiffs)	
v.)	CIVIL ACTION NO: 04-10835-MEL
COUNTY OF SUFFOLK, Defendant))))))
		

DEFENDANT'S RESPONSE TO PLAINTIFF'S CONSOLIDATED REQUEST FOR INTERROGATORIES

Pursuant to Federal Rules of Civil Procedure 33, the Defendant, County of Suffolk, by and through its attorney Ellen M. Caulo, hereby responds to Plaintiff's Consolidated Request for Interrogatories.

GENERAL OBJECTIONS

- Defendant objects to each interrogatory to the extent that they seek 1. information protected by the attorney-client privilege and/or work product doctrine or any other applicable privilege or immunity. In the event that any privileged information is produced by the Defendant, such production is inadvertent and nor intended as a waiver of any privilege.
- 2. Defendant objects to each interrogatory to the extent that they seek information not within the Defendant's possession, custody or control.
- 3. Defendant objects to each interrogatory to the extent that they are overly broad, duplicative, vague and ambiguous, and unduly burdensome.
- 4. Defendant objects to interrogatories to the extent that they call for the production of information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of relevant information.
- Defendant object to the interrogatories to the extent they call for information 5. that is available from public sources or which can be more readily obtained from other sources that are equally available to Plaintiffs as they are to the Defendant.

Wisniewski - SHOC, Unit 4-1, cell 24, 5/18/03

RESPONSE TO INTERROGATORY NO. 3:

Plaintiff Michael Dwyer was not assigned to cell 36 in Unit 24 on 1/17/03. The remaining Plaintiffs were assigned to the cells as designated in Interrogatory No. 3 on the designated dates.

INTERROGATORY NO. 4:

If your answer to question 3 above is in any respect "no", please identify the facility, unit and cell number where such plaintiff was assigned to and/or housed on such date.

RESPONSE TO INTERROGATORY NO. 4:

Plaintiff Michael Dwyer was assigned to Unit 24 cell 2 at the Nashua Street Jail (NSJ) on 1/17/03.

INTERROGATORY NO. 5:

Please state the appropriate square footage of each cell referred to in your answer to question 3, above.

RESPONSE TO INTERROGATORY NO. 5:

Unit 4-2 cell 48, Unit 4-3 cell 19 and Unit 4-1 cell 24, all of which are located at the HOC, are each 122.75 square feet. Unit 3-4 cell 23 and Unit 3-3 cell 40, also located at the HOC, are each 70.09 square feet. Unit 24 cell 2 located at the NSJ is 69.78 square feet.

INTERROGATORY NO. 6:

Please state the total number of inmates assigned to and/or housed in each of the cells referred to in question 3 above on such date(s).

RESPONSE TO INTERROGATORY NO. 6:

Each cell designated in Interrogatory No. 3 was assigned three inmates on the designated date(s) with the exception of Unit 24 cell 2, (NSJ) which was assigned two inmates.

INTERROGATORY NO. 7:

Please state the number of beds existing in each of said cells on said dates, including whether or not said beds included an upper bunk bed.

Exhibits: 1-27

UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

C.A. No. 04-10835-MEL

DAVID M. GOLDEN, et al.,

Plaintiffs

vs.

COUNTY OF SUFFOLK,

Defendant

DEPOSITION OF: SUPERINTENDENT GERARD HORGAN

SUFFOLK COUNTY SHERIFF'S DEPARTMENT

Suffolk House of Correction

20 Bradston Street

Boston, MA 02118-2705

September 13, 2007

GABRIEL & SWEENEY COURT REPORTING

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GABRIEL & SWEENEY COURT REPORTING

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Sheriff's Department?
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- A. I'm the superintendent of the house of correction, and I also serve as the special sheriff, which is a position in the event of the sheriff being unavailable or incapacitated, I serve as acting sheriff.
- Q. How long have you been the superintendent of the house of correction?
- A. Since January 2003 -- I'm sorry. Since November 2003.
- Q. And how long have you been employed with Suffolk County Sheriff's Department?
- 12 | A. Since August of 1987.
- \parallel Q. What was your initial position?
 - A. I started off in the personnel department as an administrative assistant in 1987. In 1988, I became the assistant personnel director at the old Charles Street Jail. And then I became the personnel director in 1994 of the then Nashua Street facility.

In 1997, I became a deputy superintendent of support services at our pretrial facility at Nashua Street. In 1999, I became a deputy superintendent here at the house of correction. I was the deputy superintendent of support services and program services.

In January 2003, I became superintendent of the

- Q. And were you employed before 1987?
- 5 A. I was.

- Q. Just generally, what were you doing?
- A. I was a payroll representative for TAD Technical Institute. It was my first job out of college.
- Q. What are your duties of your function as superintendent of the house of correction?
 - A. I'm responsible for the day-to-day operation of the facility. I ensure that the policies and procedures of the sheriff's department are followed. I ensure that the operation runs well every day.

I oversee command staff that has oversight of both the 550 security members, uniformed staff officers. I'm also the medical department, all programs classification. I'm responsible for promoting people, for -- I'm a member of the policy review committee.

I will deal with other agencies as the case or the need arises. Sometimes I deal with the courts. Sometimes I deal with other sheriff's departments. Basically the day-to-day operation of the facility.

Q. And do you have any superior here at the house of

1 | correction?

- 2 A. I do.
- 3 | Q. Who is your superior?
- 4 A. Chief of Staff Anne Powers and Sheriff Cabral.
- 5 Q. Now, the Suffolk County House of Correction, what's
- 6 | the address of the facility?
- 7 A. 20 Bradston Street, Boston, Mass. 02118.
- 8 Q. And do you know when that became operational as far
- 9 | as holding inmates?
- 10 A. Yes. December 1991.
- 11 \parallel Q. And do you recall who was sheriff at that time?
- 12 A. In 1991, it was Sheriff Robert Rufo.
- 13 | Q. The Suffolk County Jail, can you tell us the address
- 14 of that facility at this time?
- 15 A. 200 Nashua Street, Boston, Mass. 02114.
- 16 Q. And do you recall when that became operational as
- 17 | far as holding detainees?
- 18 A. Yes. May of 1990.
- 19 Q. I want to show you this letter, which you may or may
- 20 | not have seen. It's a letter that was sent in response to
- 21 | a keeper of records subpoena with Department of Capital
- 22 Asset Management. And I just want to -- you may have not
- 23 seen it. I just want you to take a look at that.
- MS. FABELLA: I guess my question is -- we

```
1
            question.
 2
                You can answer.
 3
            I don't know that for sure. This letter references
     Α.
     the fact that they're reinforced 300 pounds. But this is
 4
 5
     the first I've even seen it.
 6
               MS. FABELLA: He's already testified that
 7
           he's never seen this letter before, so --
 8
           (By Mr. Tobin) In 2003, was it the Suffolk County
     Q.
     Sheriff's Department's intention that the butcher block
 9
     tables be capable of holding inmates up to 300 pounds?
10
11
           I don't know.
     Α.
12
           Do you know if anybody else in the Suffolk County
     Sheriff's Department would know the answer to that?
13
14
     Α.
           Possibly maintenance.
15
           Do you know if Hyman Stubbins, Inc. had a role in
     building the facility at Suffolk House of Correction?
16
17
               MS. FABELLA: Again, I object to that
18
           question. It's beyond the scope of the
19
           30(b)(6).
20
               You can answer.
21
           I think they were the architect who designed the
22
     facility.
23
           (By Mr. Tobin) In 2003, were certain cells at
    Suffolk County House of Correction being occupied by three
24
```

```
1
      inmates?
  2
      Α.
            Yes.
  3
            Is that called triple bunking?
      Q.
  4
      Α.
            Yes.
  5
            Can you tell us the reasons for that?
      Q.
            Basically we have people -- inmates, men and
  6
      women -- sent to us from the court. They're sent to us
  7
     for a period of time or sentence, and what we do is we try
  8
     to look at the number of people that are committed to our
  9
     custody and care and make a determination of the best way
 10
11
     to house them.
12
            So there will be times because of the number of
     people committed to us that we do have to use multi-
13
14
     occupancy cells.
15
           Is that like an overcrowding situation?
     Q.
16
     Α.
           At times, yes.
17
           Is there a Unit 4-3 at Suffolk House of Correction?
     Q.
18
     Α.
           Yes, there is.
19
           And is there a Cell No. 19 in Unit 4-3?
     Q.
20
     Α.
           Yes, there is.
21
           Were inmates assigned to that cell in February 2003?
     Q.
22
    Α.
           Yes.
23
           And is there any reason an inmate would be assigned
```

to the 4-3 unit? Has that got any particular

```
A. That's correct.
```

- Q. And how many beds were there in Cell 19, Unit 4-3,
- 3 ∥ as of February 2003?
- 4 A. Three.

- 5 \mathbb{Q} . And where were they located?
- A. Where they're depicted in the picture now that you're showing me. Exhibit 2 actually is the one that
- 8 shows all three of them.
- 9 Q. And that would be a single bed on the right side of the cell as you're looking into the cell?
- 11 A. Yes.
- Q. And a bottom bunk on the left side of the cell as you look in?
- 14 | A. Yes.
- 15 Q. And a top bunk on the left side as you look in?
- 16 \blacksquare A. Yes. Actually, we refer to each one of the beds by
- 17 | letter. The bottom bunk in the left-hand side of
- Exhibit 2 is Bunk A. The top bunk is Bunk B, and the bunk on the right-hand side is Bunk C.
- Q. Do you know the height of the top bunk, Bunk B, from the floor?
- 22 A. Not off the top of my head. No.
- 23 \parallel Q. Is it approximately 5 feet?
- 24 A. In that ballpark. Yes.

```
1
            There's nothing in writing on that?
     Q.
 2
     Α.
            No.
 3
           Is an inmate allowed to put additional furniture in
     Q.
 4
     a cell?
 5
     Α.
           No.
           As of February 2003, what was the Suffolk County
 6
     Q.
     Sheriff Department knowledge of a safe way for an inmate
 7
 8
     to get up to the top bunk in --
 9
               MS. FABELLA: Just note my objection to the
10
           form of the question. I'm sorry.
11
           (By Mr. Tobin) -- in Cell No. 19, Unit 4-3?
           I mean, I can't speak to what the inmates, you know,
12
     what their knowledge is. What I can speak to is what I've
13
     observed. I've seen inmates step on the chair to get up,
14
     step on the window sill to get up, step on the table to
15
16
              Sometimes just pull themselves up, step on the
    bottom bunk and kind of pull themselves up as well. I've
17
    seen inmates do all of that.
18
           In February 2003, did the sheriff's department have
19
    knowledge of the safest way to get up onto the upper bunk?
20
21
    Α.
           Safest way? No.
```

Q. As of February 2003, did the sheriff's department consult with any type of safety consultants to determine the safest way or a safe way?

22

23

MS. FABELLA: Again, just note my objection to the form of the question.

You can answer.

A. We're audited twice a year by the Department of Correction, and they come in and give us safety tips every time they come in. They come in once to do a preliminary audit and then to do a final audit.

And I've been doing those audits for 10 years. And they've never indicated to us a better way or a worse way for inmates to get up on the top bunk. It's never been an issue when they've come in and audited us.

- Q. (By Mr. Tobin) Have you ever asked them about that issue?
- A. We ask them frequently about it. "When you walk around, can you tell us something -- if you see something, fresh set of eyes, that would make this place run better and be more safe, let us know."

Specifically about bunk beds, no. But that general -- and they give us ideas and input on a lot of matters, but they've never mentioned bunk beds.

Q. You mentioned that you've -- so the Suffolk County Sheriff Department knowledge as to the safe way to get up to the bed is obtained from observing how the inmates do it?

```
Well, I think there are a number of safe ways to get
1
    A. *
2
    up, whether it be stepping on the bottom bunk, stepping on
    the chair, stepping on the table. I think any of those
3
4
    ways is a safe way.
               MS. FABELLA: Again, note my objection
5
           specifically to the phrase "Suffolk County
6
7
           Sheriff's Department."
               MR. TOBIN: I'm sorry. Could you please
8
 9
           read back the answer?
10
11
                             (Answer read.)
1.2
           (By Mr. Tobin) Other than those three, as of
13
14
     February 2003, did the Suffolk County Sheriff's Department
     have knowledge of any other safe ways to get up to the
15
16
     upper bunk?
17
           Not to my knowledge. No.
           You mentioned with regard to Photograph No. 2
18
     Ο.
19
     stepping on the bottom bunk?
20
     Α.
           Yes.
           What was Suffolk County Sheriff's knowledge of the
21
     specific way that an inmate would safely go up to the top
22
     bunk by stepping on the bottom bunk?
23
```

MS. FABELLA: Again, I'm going to object.

A. We have an inmate grievance coordinator. And part of that person's role is to identify potential issues that may come up from time to time. And I think an issue came up where someone fell off of a bunk and filed a grievance. And he brought that up through the chain of command. He brought that up through the command staff.

And there was a conversation that the superintendent at that time held with a number of other command staff members regarding the pros and cons, the merits or the downsides, of having ladders in the cells.

- Q. And what was ultimate -- what were those pros and cons?
- A. Basically that if you have a ladder in the cell, if someone is suicidal, that's a potential for them to hang themselves from the ladder. That was a definite con.

Kind of the pros and cons were that someone climbing up on a ladder could fall as well. So we didn't view that to be a failsafe option.

I think what happened was there was a cost-benefit analysis or a risk analysis done, and a determination was made that the ladders were not a cure-all and would not solve the problem -- not completely solve the problem or even solve the problem a little bit and potentially could cause another one with suicide risk.

bunk slips. And they still exist today.

- Q. (By Mr. Tobin) Before February 3, '03, if an inmate claimed that he was injured while trying to climb up to or down from a top bunk, did the protocol call for records to be generated about that?
- A. Yes.

- Q. And what would those records be?
- A. What would happen is if an inmate fell or allegedly fell from a bunk, the unit officer would call a SERT team.

 A SERT team is Sheriff's Escort and Response Team. They would come in, and there would be medical review done to determine whether there needed to be additional medical care.

And anytime -- if a SERT team came into a unit, there's a unit logbook that exists that logs in people that come in and out. So for example, if Inmate Gerard Horgan fell out of a bunk or there was an incident in the cell, a SERT team would have come into the unit. That would have been noted in the log. That would have said "SERT team so-and-so with this officer and that officer in to respond to Gerard Horgan incident in the cell."

In addition to that, there would have been documentation of a medical nature. If there was a medical issue, it would be in the inmate's medical file.

- Q. Before February 2003, was there any policy or procedure at the Suffolk County Sheriff's Department to review these records to see what type of injuries were allegedly happening on the premises?
- A. Yes.

2

3

4

5

13

14

15

16

17

18

19

23

24

- Q. And how would that -- what was the manner that that would work?
- A. There is a health service administrator who oversees
 all the medical staff, the doctors, the midlevel
 practitioners, the nurses, other people, too, but there is
 a weekly meeting that is held with issues that occur
 during the course of the week, hot topics, if you would.

And there is a -- at the time, actually, I was in that role where I would meet with the HSA every week and talk about issues that may or may not have come up medically that we needed to address.

- Q. Do you recall ever participating in an HSA meeting where the issue of inmates being injured, going up to or down from the top bunk was ever discussed?
- 20 | A. No.
- 21 Q. Is HSA an arm of the sheriff's department or is that 22 a contractor?
 - A. A contractor at the house of correction. At the jail, it's different, but I think we're talking about the

house, so -
Other than those HSA-type meetings, was there any
other procedure in effect at the Suffolk County Sheriff's

Department where the SERT records or the logbook records

5 would be reviewed by anybody?

A. Yes.

- \parallel Q. What was that procedure?
- A. There is a major of operations and a deputy superintendent of operations. They would periodically review logbooks.

In addition to that, they would hold staff meetings with captains, with lieutenants, with sergeants, on a periodic basis to discuss relevant security issues.

There's also labor relation meetings that existed and still exist to talk about health and safety issues with representatives from the various units. So there are a number of different mechanisms for that.

- Q. And to your knowledge, did any of those reviews revolve around the issue of inmates being injured going up or down from the top bunk?
- A. No. Again, it happened infrequently, and we didn't view it to be an issue.

MS. FABELLA: And this is before 2003, you're asking?

2

3

4

5

6

7

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10

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19

20

21

22

23

24

day shift on that day.

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course of business that unit officers will record
 activities that happened in a given housing unit during a
 different shift on a different day. And basically, it
 will give you a summary of what happened during their tour
 of duty.
      And that was my next question. The writing that we
 see on the logbook is written in by the corrections
officer?
Α.
      Yes.
      And is that the correction officer who's guarding
that particular unit?
      Yeah. Sometimes it may be more than one officer in
a unit. The logbook you showed me had multiple officers
on because it's such a large unit. But typically, there
will be entries made by one of the officers who is
assigned to that unit. Yes.
      I'd like to direct your attention to the fifth page
Q.
of Exhibit 4, which is Bates stamped at the bottom 000062.
Just ask you if you could, take a look at that, please.
      What is that document?
      This is what's known as a daily rap sheet or a daily
summary sheet. It's the Building 3 rap sheet from
February 16, 2002. And it summarizes what happened on the
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Q. And whose obligation is it to offer the rap sheet?

A. The building supervisor. Generally a lieutenant.
```

- Q. Do they use the logbook entries to write up their rap sheet?
- A. That would be one -- more verbal communication with the officers, what's going on, but the lieutenants do review the logbook several times during the course of the shift. Yes.
- 9 Q. I'd ask you to take a look at the item for 10 12:25 p.m. on that.
- 11 | A. 12:15?

4

1.6

17

18

19

20

21

22

23

24

- 12 Q. Is there a 12:25?
- 13 ∦ A. No. 12:15.

examination."

- 14 Q. I'm sorry. 12:15. And could you just please read that into the record?
 - A. It says, "Man down. Inmate Thomas Crosby, Booking No. 0200164, Unit 3-1, Cell 23, complained of back injury after accidentally slipping and destroying the face sink. He was apparently attempting to gain access to his top bunk by way of the sink. He also sustained small lacerations to right wrist/forearm. SERT and medical responded. He was taken to the infirmary for further

MS. FABELLA: Just note my objection. The

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document speaks for itself.
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- Q. (By Mr. Tobin) I'd like to show you the last page of Exhibit 4, Bates stamped 000063. And if you could, just take a look at that.
- A. Yes.

2

3

4

5

10

11

12

- 6 Q. Can you tell us what that document is?
- 7 A. This is a daily activity sheet of the SERT team.
- SERT again is Sheriff's Escort and Response Team. This is the summary sheet for the day shift on February 16, 2002.
 - Q. And whose obligation is it to author the SERT reports?
 - A. The SERT supervisor or supervisors.
 - \parallel Q. And what is the function of the SERT team?
- A. They respond to emergencies. They provide escorts to inmates going throughout the facility. They provide lunch reliefs. They respond to fights. They provide out-of-perimeter patrols outside of the facility. They again take inmates from one unit to another when the
- 19 housing unit changes. They do a number of different
- 20 things during the course of the day.
- Q. I would like to show you this document, which is
 Bates stamped 00072. Ask you to just take a look at that.
- 23 A. Yep.
- MR. TOBIN: Why don't we mark that as the

```
1
            next exhibit, if we can?
  2
                MS. FABELLA: Can you have him identify it
  3
            first, please?
 4
                MR. TOBIN:
                           Sure.
 5
            (By Mr. Tobin) Could you just tell us what that is?
     Q.
           This is the Building 3 summary sheet, daily summary
 6
 7
     sheet, for the 3-to-11 shift on January 15, 2003.
 8
               MR. TOBIN: And could we mark that as the
 9
           next exhibit, please?
10
                (Exhibit 5, Building 3 rap sheet dated 1/15/03,
11
               marked.)
12
           (By Mr. Tobin) And that is a -- that is a rap
     Q.
13
     sheet?
14
     Α.
           Yes, it is.
15
           And the date on that is 1/15/03?
     Q.
16
           Yes. That's correct.
     Α.
17
           And recognizing that the document does speak for
18
     itself, could you please read in the entry for 6:21 p.m.?
19
               MS. FABELLA: Again, just note my
20
           objection.
21
               You can answer.
22
           "Man down, Unit 3-3. Inmate Edward Ellie's Booking
    No. 020-4282, Cell No. 40, fell off top bunk, received a
23
24
    cut over left eye. He was seen by medical, taken to
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infirmary via wheelchair for treatment. Inmate Ellie
   1
       later sent to BMC for stitches."
   2
   3
             (By Mr. Tobin) Showing you a document Bates stamped
      00051, could you just take a look at that and let us know
  4
  5
      when you're done?
  6
      Α.
            Okay.
  7
            And generally, could you tell us what that is?
      Q.
  8
                MS. FABELLA: Can you ask him whether or
  9
            not he recognizes that document and whether or
 10
            not he's ever seen it before?
            (By Mr. Tobin) Have you ever seen it before?
 11
      Ο.
 12
            No, I have not.
      Α.
 13
           Can you just tell us what it purports to be or even
14
     who it's from?
15
           It's a letter from an attorney. It's a tort claim
     on behalf of an inmate he has as a client.
16
17
               MR. TOBIN: And could we mark that as the
18
           next exhibit, please?
19
               (Exhibit 6, Letter, marked.)
20
           (By Mr. Tobin) Does that document indicate a date
     that it was received by the Suffolk County Sheriff's
21
22
     Department?
23
    Α.
           February 4, 2001.
24
           Do you have any reason to doubt that that document
```

```
1
    was received by the Suffolk County Sheriff's Department on
 2
    February 4, 2001?
 3
           Yes.
    Α.
 4
    Q.
           Why do you say that?
 5
    Α.
           The letter's dated January 2002.
 6
    0.
           That's a good reason.
 7
               MS. FABELLA: Good catch. That's
 8
           interesting.
 9
               MR. TOBIN: That is.
10
           (By Mr. Tobin) Do you know when the document was
    Q.
11
    received by --
12
          I don't.
    Α.
13
          -- the Suffolk County Sheriff's Department?
14
    Α.
           Unless we went back in time, I don't know.
15
          And it could be that the attorney had the wrong date
1.6
    on his letter. I don't know.
17
           Can you tell us what the writing up in the upper
18
    right-hand corner of that letter, Exhibit 6, appears to
19
    be?
20
               MS. FABELLA: I'm sorry. Writing? You
21
           mean the stamp?
               MR. TOBIN: The stamp. Yeah.
22
23
           That's a date stamp indicating that it had been
24
     received by the sheriff's department. And it's dated
```

```
1
      February 4, 2001.
  2
            (By Mr. Tobin) And was it the practice of the
      Suffolk County Sheriff's Department in 2001 to stamp
  3
      lawyers' letters when they were received by the
  4
  5
      department?
  6
      Α.
            Yes.
 7
            Does that appear to be a genuine date stamp from the
     Q.
 8
     Suffolk County Sheriff's?
 9
           Yes, it does.
10
           And it references an individual by the name of
     Sampson Scott. Have you ever heard of him?
11
12
     Α.
           No.
13
           And could you just read into the record, please,
14
     Paragraph 2, understanding that it says what it says?
15
               MS. FABELLA: I'm going to object to that.
           Obviously the document speaks for itself.
16
17
           "On or about August 14, 2000, Sampson Scott was
     being housed at Unit 2-2, Cell 27, in the Nashua Street
18
     Jail. For some reason, he was switched to Unit 2-2,
19
20
    Cell 23, just before bedtime.
21
           "As Mr. Scott attempted to climb onto the top bunk
    of his new cell in order to sleep, the butcher block
22
```

counter he used to step on" -- I'm sorry -- "to step to
the top bunk gave way. This caused him to fall, striking

```
a portion of the lower bunk and falling to the floor.
  1
      suffered injuries to his back, groin and arms as a result
  2
  3
      of the fall."
            (By Mr. Tobin) Do you know if there was any kind of
  4
      Q.
      a health services unit meeting with regard to that
  5
  6
      incident?
           I was at the house of correction at the time.
  7
  8
      don't.
  9
           And this refers to the jail?
      Q.
 10
     Α.
           Yes.
11
           I'd like to show you a two-page document Bates
     stamped 00082 and 00083. If you could, just take a look
12
13
     at that.
14
     Α.
           Okay.
15
           Could you just tell us generally what that document
16
     is?
17
           This is a report from an officer to his supervisor,
     the lieutenant, indicating that a detainee by the name of
18
     Michael Dwyer indicated that he fell out of his bunk at
19
     the jail and hurt his ankle.
20
21
               MR. TOBIN: Why don't we mark that as the
22
           next exhibit?
23
               MS. FABELLA: No objection.
24
               (Exhibit 7, Memo dated 1/14/03, marked.)
```

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(By Mr. Tobin) And Superintendent Horgan, with
1
    Q.
    regard to Exhibit 7, is there an indication of the date
2
3
    that that document was authored?
4
    Α.
          Yes. It is January 14, 2003.
5
          I'm going to show you a two-page document Bates
   stamped 00049 and 00050. Just ask you to take a look at
6
7
   that.
         Generally, what does that letter purport to be -- or
8
9
   that document?
             MS. FABELLA: I'm just going to ask if he
         identifies whether or not he recognizes the
         document, if you can do that.
         (By Mr. Tobin) Do you recognize it?
   Q.
         I've never seen it before.
         Can you tell us what it purports to be generally?
         Generally, it's a letter from an attorney to Mayor
   Menino and the Boston City Council, looking to settle
   something -- basically an offer letter or a demand letter
   basically saying, "If you don't make us an offer that we
   want, we're going to go to court on an inmate injury."
             MR. TOBIN: Why don't we mark that as
         Exhibit 8?
             (Exhibit 8, Letter dated September 8, 1999,
             marked.)
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Q.
      (By Mr. Tobin) And superintendent, with regard to
this Exhibit 8, when letters like that come in, are they
generally sent to the sheriff's department at some point?
Are they made aware of these letters?
      Back in 1999, I'm not sure. I mean, our
relationship with the city has changed a lot. I really
can't say. I'm not sure what happened in '99 or not.
      Do you have any memory of the allegations set forth
in that letter?
Α.
      No.
Q.
      And the date of the letter is --
Α.
      September 8, 1999.
Q.
      And in general terms, it's an allegation of an
inmate being injured in some fashion while trying to go up
or down from an upper bunk?
          MS. FABELLA: I object because he's never
      testified -- I'm sorry. He testified that he
      did not recognize this document, having never
      seen it before. So the document speaks for
      itself. We've marked it as an exhibit, so --
      I mean, basically it's an inmate saying that his
cell was dark and stepping off his bunk, he stepped on a
sink or toilet and fell.
Q.
      (By Mr. Tobin) Okay. Thank you.
```

```
1
            Let me show you a document Bates stamped 00054.
  2
     Α.
            Okay.
  3
            Do you recognize that document?
  4
     Α.
            No.
  5
           Can you tell us generally what it purports to be?
            It's a letter from an inmate who looks like he's
  6
     representing himself, claiming that he is very small in
 7
 8
               He says he's 4 feet high, 4 feet in height, and
     that he had to go up on a top bunk and he fell.
 9
10
               MR. TOBIN: Could we mark that as the next
11
           exhibit, please?
12
                (Exhibit 9, Complaint dated 12/13/00, marked.)
           (By Mr. Tobin) And with regard to Exhibit 9, could
13
     you just read for us the date that the document purports
14
     to have been written, down in the lower left-hand corner?
15
16
     Α.
           December 13, 2000.
           I'd like to show you next a document Bates stamped
17
     00056. Do you recognize the document?
18
19
     Α.
           Not specifically. No.
           Can you tell us generally what it purports to be?
20
           It was an inmate grievance form asking to get a cast
21
22
     taken off of his arm.
23
               MR. TOBIN: And why don't we mark that as
24
           the next exhibit, please?
```

```
1
               (Exhibit 10, Inmate Grievance Form dated
 2
               August 16, 2000, marked.)
 3
     Q.
           (By Mr. Tobin) And with regard to Exhibit 10, does
     it appear to be on an inmate grievance form that was in
 4
 5
     use at the Suffolk County House of Correction?
 6
     Α.
           Yes.
 7
           And does it indicate a date that it purports to have
     Q.
 8
     been written?
 9
         August 16, 2000.
10
           Have you ever seen that inmate -- that particular
     Q.
11
    inmate grievance?
12
           I don't see the inmate's name, and it doesn't ring a
     bell. No.
13
    Q. If I suggested Mario Celestin, does that ring a bell
14
15
    at all?
16
          No. That was the name on No. 9, though, right?
    Α.
    Q. Yes. I believe that's -- it's redacted, but that's
17
18
     what it appears to be. I could be wrong.
          In any event, could you just please read for us what
19
20
    his grievance is?
21
               MS. FABELLA: I'm going to continue to
22
          object.
23
              Off the record for a second.
24
```

```
(Off-record discussion.)
1
2
              MS. FABELLA: Back on the record.
3
              I object to the reading of the document
4
          into the record. The document speaks for
5
          itself.
6
          (By Mr. Tobin) If you could, just read it briefly,
7
    and I'll cut you off so you don't have to go through the
8
    whole thing.
9
           "I was in Unit 1-92, Cell No. 11, and I occupied the
10
    top bunk. The top bunk is about 5 feet, 4 inches from the
11
    floor. On 6/25/2000, I tried to get down from the top
12
    bunk. As I placed my foot on the top of the sink to get
13
     down, I slipped and fell."
14
           That's fine. Thank you.
     Q.
15
           I'd like to show you a document Bates stamped
16
     000491.
17
           Can you tell us what that purports to be?
18
           It's an incident report to the captain from a
19
     lieutenant, indicating that an inmate on the sixth floor
20
     of the jail was lying on the floor of his cell, and the
21
     inmate's roommate said that the inmate was climbing to his
22
     top bunk and he fell to the floor, and that the inmate was
23
```

seen by medical.

```
1
                 MR. TOBIN: Could we mark that as the next
  2
            exhibit, please?
  3
                 (Exhibit 11, Incident report dated 10/21/02,
  4
                marked.)
  5
            (By Mr. Tobin) And does Exhibit 11 state the date
      Q.
      on which the report was generated?
  6
  7
      Α.
            10/21/02.
  8
            I'd like to show you a document Bates stamped
     000493. Ask you to take a look at that, please.
  9
 10
     Α.
            Okay.
11
            Can you just tell us what that document purports to
     Q.
12
     be?
           This is a Building 4 daily rap sheet for March 29,
13
     Α.
14
     2002, 3-to-11 shift.
15
               MR. TOBIN: And could we have that marked
16
           as the next exhibit, please?
17
                (Exhibit 12, Building 4 rap sheet for March 29,
18
               2002, marked.)
19
           (By Mr. Tobin) And I'm sorry. Did you tell us what
20
     the date was?
21
           March 29, 2002. Yes.
     Α.
22
           And could you read the entry for 6 p.m.?
     Q.
23
               MS. FABELLA: Again, just note my objection
24
           Document speaks for itself.
```

```
"Man down on 4-2 unit. Inmate name's redacted.
  1
  2
      No. 0200218 fell down from -- fell coming down from his
     top bunk. Medical escorted Inmate blank to infirmary in a
  3
     wheelchair."
  4
  5
            (By Mr. Tobin) I'd like to show you this document
     Bates stamped 000495. Ask you to take a look at that and
  6
     tell us generally what it purports to be.
 7
           It is a SERT rap sheet for Christmas Day, midnight
 8
 9
     shift, 2002.
10
               MR. TOBIN: And could we mark that as the
11
           next exhibit, please?
                (Exhibit 13, SERT rap sheet, marked.)
12
           (By Mr. Tobin) Referring to Exhibit 10, could you
13
14
     please read the note portion of it?
15
               MS. FABELLA: The record speaks for itself.
16
           Just note my objection.
17
               MR. TOBIN: You can make that continuing.
18
               MS. FABELLA: Continuing objection to the
19
           documents.
          Exhibit 13, the note indicates at approximately
20
     10:25, inmate's name is redacted, Booking No. 0204550,
21
    Unit 3-4, Cell 56, slipped and fell while climbing from
22
    bunk. Complained of back pain. SERT and medical
23
    personnel responded. EMS activated. He was transported
24
```

```
1
     to BMC and returned. Placed in the infirmary.
 2
           (By Mr. Tobin) Showing you now document Bates
 3
     stamped 000497 and ask you to take a look at that.
 4
     Α.
           This is a shift commander's rap sheet dated
 5
     October 10, 2002 for the 3-to-11 shift.
 6
     Q.
           Thank you.
 7
               MR. TOBIN: Could we mark that as the next
 8
           exhibit?
 9
               (Exhibit 14, Shift commandeer's rap sheet dated
10
               October 10, 2002, marked.)
11
           (By Mr. Tobin) And subject to the continuing
     Q.
     objection, could you please read in the reference for
1.2
13
     10:05 p.m.?
14
           "Man down in Unit 3-2. Inmate's name redacted,
15
     Booking No. 0203596, Cell 59, did fall and cut himself
16
    climbing back in his bunk. Inmate will need stitches.
17
     Will be going to BMC."
18
           I'd like to show you a document Bates stamped
19
     000499. Ask you to take a look at that, please.
20
    Α.
           This is the shift commander rap sheet for Monday,
21
     September 16, 2002 for the day shift.
22
               MR. TOBIN: Could we mark that as the next
23
           exhibit, please?
```

```
(Exhibit 15, Shift commander rap sheet dated
1
              September 16, 2002, marked.)
2
           (By Mr. Tobin) Subject to the objection, could you
3
    Q.
    please read in the reference for 11:15 a.m.?
4
          "Man down called in Unit 110-2, Cell No. 4. Inmate
5
    name redacted. Booking No. 0201672." It says "feel," but
6
7
    I think it means "fell" -- "while trying to get onto the
    top bunk. SERT and medical responded. Transported to the
8
    infirmary. Seen by the medical staff and sent back to our
9
    unit."
10
          Showing you a document Bates stamped 00506. Take a
11
12
    look at that.
          This is a Building 1 rap sheet from November 6,
13
14
     2002.
15
               MR. TOBIN: Could we mark that as the next
          exhibit?
16
               (Exhibit 16, Building 1 rap sheet dated
17
               November 6, 2002, marked.)
18
           (By Mr. Tobin) Could you please, subject to the
19
     objection, read in the 6:27 p.m. reference?
20
           "Man down. Inmate's name redacted. Booking
21
     No. 0203842, Unit 110-2, Cell 9. Slipped and fell while
22
     attempting to get on her top bunk. She complained of back
23
          SERT and medical responded. She was evaluated and
24
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remained in the unit."
      Does the Suffolk County Sheriff's Department have
any reason to believe that any of the information
contained in those exhibits that you just went through is
false, those specific ones?
           Those documents look to be accurate.
      But as far as the information being imparted that
inmates fell, does the Suffolk County Sheriff's Department
have any reason to believe that the allegation is false or
any of the specific ones?
          MS. FABELLA: Objection. There are other
     documents thereafter that go with those that
     also show otherwise, so I just want to make
      that objection on the record.
         MR. TOBIN: Do I have those?
         MS. FABELLA: Yeah. You have everything.
         Off the record for a second.
         MR. TOBIN: Yeah. Why don't we go off the
     record?
                 (Off-record discussion.)
         MR. TOBIN: Back on the record.
         Counsel has pointed out that plaintiff's
```

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MS. FABELLA: Objection to the extent -- to
  1
  2
            the form of the question.
            A small number of inmates have fallen. Yes.
  3
  4
      Ο.
            (By Mr. Tobin) Do you know what that number is?
  5
                MS. FABELLA: And this is before 2002?
  6
                MR. TOBIN: Before 2002.
  7
            For what time period?
  8
            (By Mr. Tobin) Since the jail was in -- since the
      Q.
     house of correction was in operation.
  9
 10
                MS. FABELLA: 1991?
           Off the top of my head, no.
11
12
            (By Mr. Tobin) The only way to determine that
13
     accurately would be to review all the incident reports?
14
           Yeah. If you're going to go back to 1991, that
     would be an impossible task to do, I think.
15
16
           I understand that, but I mean, that is the only way
     0.
     to determine accurately the actual instances where inmates
17
18
     have made the claim?
           What I can tell you is this. My recollection as
19
     someone who oversaw inmate grievances, oversaw the medical
20
    department at both facilities, each year, there were a
21
22
    very small number of people who may have fallen out of a
23
    bed.
24
          But again, there was a protocol in place, that if
```

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1

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UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

C.A. No. 04-10835-MEL

DAVID M. GOLDEN, et al., Plaintiffs, ν. COUNTY OF SUFFOLK, Defendant.

DEPOSITION OF JOHN T. CONNOLLY, JR., a Witness called on behalf of the Defendant, taken pursuant to the applicable provisions of the Federal Rules of Civil Procedure, before Maureen Nashawaty, a Notary Public within and for the Commonwealth of Massachusetts, held at the Nashua Street Jail, 200 Nashua Street, Boston, MA, on Tuesday, September 4, 2007 commencing at 1:30 p.m.

COPLEY COURT REPORTING, Inc.

58 Batterymarch Street Boston, Massachusetts 02110 (617) 423-5841

- Q. When you entered the unit?
- A. Yes, yes.

Q. Okay. All right. We are going to

40 19 you were told which bed you would be assigned 1 2 to, correct? 3 Α. Right. 4 And an officer told you which cell you Q. 5 were assigned to? 6 At the time, he said that is my bunk and when I went in there the bunk was open, and 7 that bunk was open and that is the bunk that I 8 9 was given, yes. 10 0. But earlier you testified that an officer assigned you to that bunk, the top bunk 11 12 on that date? 13 There is your bunk, yes, yes. 14 Okay. Can you describe that cell for me to the best of your recollection, Cell Number 15 19 on that day and tell me for example how many 16 beds there were and what items were in that 17 18 particular cell? 19 3 beds and a chair, and I think there Α. 20 were 3, I think we all had a bag that we put our

property in. Okay. And there was a sink and a Q.

> Α. No.

toilet?

21

2.2

23

bed and I was on a chair. That was pretty much the routine.

- Q. Okay. And at some point you decided to go up to your bed?
 - A. Yes.

- Q. Why did you decide to go up to your bed at that point?
- A. I was tired and it was going to be an hour or so before we got out for rec, so I figured I would rest before we get out for rec.
 - Q. Tell me what you did then?
- A. I went from the chair to the window, and I was trying to get to the top bunk and the leg buckled and I fell.
- Q. Okay. Now, when you say the chair, can you tell me, did you position the chair in a certain location?
- A. Yes, I pretty much did it the same way all of the time, against the wall, close to the bunk, to the window, yes.
- Q. When you say against the wall, what portion of the chair was against the wall?

For example, was the back of the chair against the wall? Was the back of the chair up

against the bunk?

1.4

- A. Yes, it would be the back to try to give it as much support, yes, the back.
 - Q. And the back was against which wall?
- A. The wall where the window is located, the far wall.
- Q. Okay, all right. So the seat of the chair was facing where?
- A. The seat of the chair would be facing out so I could get on it.
- Q. So it would be facing let's say the door?
 - A. Yes.
- Q. Okay. So tell me exactly how you stepped onto the chair, what foot did you use so on and so forth?
- A. I am pretty sure I put my left foot onto the chair and I was bringing my right foot up to the chair and holding on to the top bunk -- you have to be an acrobat to get up there, so -- I am putting my left foot on -- and I was bringing my right foot up -- the chair buckled and I fell.
 - Q. Where were your hands at this time?

21

It was a plastic chair. Α.

23

24

And when you say it gave out, did it give out going under you? I mean the leg itself. Did it go out or did it go to the side or something else -- I am trying to understand how the chair buckled?

- A. I don't know exactly how the leg buckled but I was on top but I imagine it -- I don't know which way it buckled then.
- Q. Do you remember which leg it was that buckled?
- A. I think it was the left -- the rear leg -- the left rear leg.
 - Q. The left rear?
- A. Yes.

- Q. Okay. How did the chair, withdrawn.

 Did the chair sway to the left or to

 the right at the time that you fell?
- A. It sort of like wobbled and I lost my, when it went down, I am trying to think, let me see -- it went to the left and out I think.
 - Q. It went to the left and out?
 - A. Yes.
- Q. And you mean your left, is that correct?
 - A. Towards the bunk, it flipped.
 - Q. So it flipped towards the bunk?

121 1 privileged. 2 THE WITNESS: Thank you. 3 Q. We are not talking about 4 conversations -- just what your own personal 5 knowledge is as you sit here today about the circumstances of a similar incident involving 6 7 Mr. Scott? 8 I spoke with my attorney about it, .9 Attorney Tobin. 10 But you don't have any other knowledge Q. about it other than your conversations with your 11 12 attorney? 1.3 Α. Yes, right. 14 Okay. So you were in the hospital you Q. 15 said for eight days? 16 Seven or eight days, yes. Α. 17 Q. Okay. 18 Α. Yes, the 3rd, yes. 19 Q. Approximately? 20 Yes, seven or eight days. Α. 21 Did you undergo an operation while you Q. 22 were there? 23 Α. Yes, I did. 24 Do you know off the top of your head Q.

what your operation entailed?

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- A. That they sought out removed the radial head with a gigli saw. When I read my medicals in the past and I learned what a gigli saw was.
- Q. I don't need to know the medical terms -- just in general terms.

You had an operation on your arm?

- A. Yes, and the radial head was removed.
- Q. Okay.
- A. And a cast was put on my wrist.
- Q. How far did the cast go up your arm I should say?
 - A. To about halfway up my forearm because the stitches were here -- probably about here.
 - O. So your elbow was exposed?
 - A. Yes, it had to be exposed, yes.
 - Q. Did you have a sling or anything that you were prescribed to wear?
 - A. Yes.
 - O. Did you wear that?
- 21 A. Yes.
- Q. How long did you wear that sling?
- A. Months.
- Q. How long was the cast on for?

Ĺ	Q.	And	wer	e you	ı ass:	igned	to	the	top	bunk
2	or the	e bottom	bunl	k on	that	occas	sior	1?		
3	Α.	Off	and	on.						

- Q. But you were assigned at some point to the top bunk?
- A. Yes, but I think I was able to get a bottom bunk most of the time there that I was there.
- Q. Okay, but you were at some point assigned to a bottom bunk?
 - A. Yes, at different times.
- Q. And do they have ladders that went to their top bunks?
- A. No, it was actually more of a military type of bunk bed.
 - Q. What do you mean by that?
- A. Not just like a slab of steel like more of the connecting military bunk beds with the springs in them.
- Q. How would you get to the top if there was no ladder?
- A. How was that -- I am trying to think, I don't know, climb up the frame of the bed.
 - Q. Okay. And when you say you climb up

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the frame of the bed, would you have to step on the bottom bunk in order to get to the top bunk?

- A. Probably on the frame of the bed, I am trying to think of that now. The way the bed was made you could climb up it. They don't make them any more. You could go up the front of it, you know, old Army bed, you know.
- Q. Did you make any complaints that they didn't have ladders while you were there?
 - A. No, I didn't.

- Q. How about at Barnstable while you were incarcerated there, were you given a top bunk at some point?
 - A. The top, bottom, yes.
- Q. But at some point you were on the top bunk while you were there?
 - A. Yes, yes.
 - Q. And there were ladders there?
- A. No, there were different types of beds, again, it was military beds, you have to climb up the frame.
- Q. Again by stepping on the lower bunk and hoisting yourself up?

- A. By stepping on the frame there was a bar there and another bar and you go hold onto the bars to get up to the top.
- Q. And you have been incarcerated at Concord, correct?
 - A. Yes, I have.

- Q. And do they have ladders on their beds?
- A. No, they don't.
- Q. And you have been on the top bunk in that facility -- while you were incarcerated at Concord at some point?
- A. Let me see how they do that -- Concord they have a locker -- you go from the locker to a window up to the top.
 - Q. But there are no ladders there either?
 - A. No, there is no ladders there, no.
- Q. Did you make any complaints about the fact that they didn't have any ladders?
 - A. No.
- Q. And you were incarcerated in Shirley obviously currently, not incarcerated by being held in Shirley?
 - A. Yes.
 - Q. Are you assigned to a top or bottom

173 1 bunk? 2 It is all single cells there. Α. 3 Q. It is single cells in Shirley? 4 Yes, single cells which I am grateful Α. 5 for. 6 You were in Bridgewater as well at some Q. 7 point? 8 Α. Yes. 9 And was there more than one bed in the Q. 10 cell there? 11 Α. 12 Were you assigned to the top or bottom Q. 13 bunk when you were there? 14Α. Top and bottom -- again it fluctuated 15 up and down depending on the classification of 16 the people and me. 17 And were there any ladders in those Q. 18 beds? 19 Α. No. 20 Okay, and how did you get to the top Q. 21 bunk? 22 There was lockers there. You could Α. 23 stack the iron lockers one on top of the other 24 and made it easier.

- Q. But there was still no ladder for you to access it?
- A. No, they put the locker on top of the other locker.
- Q. Did you make any complaints there or file any grievances with the fact that they didn't have any ladders?
 - A. No, I did not. No, I did not.
- Q. At any of those facilities that we have just spoken about -- did anyone at those facilities instruct you how to get from the floor to the top bunk?
- A. No, there wasn't a class or anything that I took, no.
- Q. Did you ever fall as a result of going up or down any of those -- in the last 20 years prior to this incident -- have you ever fallen while going up or down to a top bunk?
 - A. No, no, no.
- Q. Have you ever written to any official at the Suffolk County House of Correction about the lack of ladders for you to get to the top bunk?
- A. No.

1.3

Q. Have you ever notified any officials at the Suffolk County House of Correction that you were afraid that you were going to fall as a result of having to go up and down the bunks?

A. No.

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- Q. Okay.
- A. In general it is well known.
- Q. There is no question -- move to strike.
- A. Yes.
- Q. Do you contend that someone at the House of Correction was aware of a potential danger to you on February 3rd, 2003?
 - A. I'm sure.
 - Q. It can't be --
 - A. I'm sure they were aware of it, yes.
 - Q. What do you base your information on?
- A. Just the talk of the prison, different people falling, hearing about this guy falling on his way up, this guy the sink coming off the wall, guys falling off the toilet, slipping off the toilet.
 - Q. Okay, who are these individuals?
 - A. I don't know -- just in general.
 - Q. Did you know any of this before your

ENDORSEMENT

GOLDEN et al. v. COUNTY OF SUFFOLK 04-CV-10835-MEL

LASKER, D.J.

Defendant moves to dismiss the segment of Count I dealing with the specific issue of negligent failure to instruct. The motion is DENIED.

Plaintiffs claim that the County of Suffolk ("County") was negligent for failing to protect those in its custody against unreasonable risk of physical harm. Restatement (Second) of Torts § 314A (1965); <u>see Slaven v. Salem</u>, 386 Mass. 885 (1982). County argues that it had no duty to warn of open and obvious dangers. See Barnett v. City of Lynn, 433 Mass. 662 (2001); O'Sullivan v. Shaw, 431 Mass. 201 (2000); Greenslade v. Mohawk Park, Inc., 59 Mass. App. Ct. 850 (2003).

The County's arguments are unpersuasive. Contrary to the cases cited by Defendant, this case concerns a duty to instruct Plaintiffs on a method of avoiding an obvious danger, not simply to warn them of its presence. Furthermore, the plaintiffs in the cases cited by the Country were not required to engage in the dangerous activity as a result of restrictions placed on them by the defendants, which is the situation in the case at bar.

The complaint has sufficiently alleged the elements of negligence on this matter. Accordingly, the motion to dismiss on the issue of negligent failure to instruct is DENIED.

It is so ordered.

Dated:

June 29, 2005

Boston, Massachusetts

/s/ Morris E.

U.S.D.J.

